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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/897,755
		Filing Date	07/03/2001
		First Named Inventor	Peng Cho TANG
		Group Art Unit	1626
		Examiner Name	Sonya N. Wright
		Attorney Docket Number	034536-0107

Sheet 1 of 1

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
KS	A1	EP	0 252 713	B1	PFIZER INC.	09-12-1990		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
KS	A2	DESIMONI et al., "Catalysis with Inorganic Cations. V. <sup>1</sup> Intramolecular Hetero Diels-Alder versus Ene Reactions: Effect of Magnesium Perchlorate on Chemoselectivity," <u>Tetrahedron</u> , 1996, pp. 1200-12018. Vol. 52, No. 36, Elsevier Science Ltd.	
KS	A3	GIBSON et al., "Cleavage of Halogenobenzophenones by Potassamide in Ammonia; New Routes to Xanthen- and Thioxanthen-9-ones," <u>J.C.S. Perkin I</u> , 1975, pp. 155-160	
KS	A4	KULKA et al., "t-Butyl as a Blocking Group in the Synthesis of o-Hydroxybenzophenones," November 5, 1954, pp. 5469-5471	

Examiner Signature	Kamal Saeed	Date Considered	08/03/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449		ATTY. D. NO.	SERIAL NO.
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		18602-1220	09/897,755
		APPLICANT: Peng Cho Tang et al.	
		FILING DATE: 7/3/01	GROUP: 1626
U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION						
							YES	NO					
KS	AA	0 6 2 6 3 7 7	11/30/94	EPO (I-taga et al.)	—	—							
	AB	9 7 3 6 8 6 7	10/9/97	WO/PCT (Howard)									
	AC	9 2 8 6 7 7 7	11/04/97	Japan									
	AD	9 5 1 4 6 6 7	06/01/95	WO/PCT (Masamune)									
	AE	3 3 1 0 8 9 1	09/27/84	Germany (Michel et al.)									
	AF	3 4 2 6 4 1 9	01/23/86	Germany (Michel et al.)									
	AG	9 7 2 5 9 8 6	07/24/97	WO/PCT (Sato et al.)									
KS	AH	0 7 8 8 8 9 0	08/13/97	EPO (Vanmadel)	—	—							

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)		
KS	AI	Howard, Provisional Patent Application No. 60/015,134 filed March 29, 1996 for "Lactam Derivatives"

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38602-1220

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09/897,755

APPLICANT:

Peng Cho Tang et al.

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## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

FS	CJ	Abramovitch et al., "A Novel Synthesis of a Cyclic Hydroxamic Acid Involving a Molecular Rearrangement," <u>Chemistry and Industry</u> 44:1871 (1967)
	CK	Abramovitch and Hey, "Internuclear cyclisation," <u>J. Chem. Soc.</u> pp. 1697-1703 (1954)
	CL	Akbasak and Suner-Akbasak et al., "Oncogenes: cause or consequence in the development of glial tumors," <u>J. Neurol. Sci.</u> 111:119-133 (1992)
	CM	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones," <u>Eur. J. Med. Chem.</u> 25:187-190 (1990)
	CN	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones bearing pyridyl groups," <u>Eur. J. Med. Chem.</u> 28:653-657 (1993)
	CO	Andreani et al., "Synthesis of lacatams with potential cardiotonic activity," <u>Eur. J. Med. Chem.</u> 28:825-829 (1993)
	CP	Andreani et al., "Synthesis and cardiotonic activity of pyridylmethylene-2-Indolinones," <u>Eur. J. Med. Chem.</u> 27:167-170 (1992)
	CQ	Arteaga et al., "Blockade of the type I somatostatin receptor inhibits growth of human breast cancer cells in athymic mice," <u>J. Clin. Invest.</u> 84:1418-1423 (1989)
	CR	Autrey and Tahlk, "The Synthesis and Stereochemistry of Some Isatylideneacetic Acid Derivatives," <u>Tetrahedron</u> 23:901-917 (1967)
	CS	Bahner et al., "Benzylideneindenes with Oxygen Attached to the Indene Ring," <u>J. Med. Chem.</u> 12:721-722 (1969)
	CT	Bamfield et al., "Diels-Alder Reactions of Oxindolylideneacetone," <u>J. Chem. Soc. (C)</u> pp. 1028-1030 (1966)
	CU	Baserga, "Oncogenes and the strategy of growth factors," <u>Cell</u> 79:927-930 (1994)
	CV	Baserga, "The Insulin-like growth factor I receptor: a key to tumor growth?" <u>Cancer Res.</u> 55:249-252 (1995)
	CW	Blake and Jaques, "Anisotropic Effects in alpha-substituted methoxystilbenes," <u>J. Chem. Soc. Perkin II</u> pp. 1660-1663 (1973)
	CX	Bolen et al., "The Src family of tyrosine protein kinases in hemopoietic signal transduction," <u>FASEB J.</u> 6:3403-3409 (1992)
	CY	Bolen, "Nonreceptor tyrosine protein kinases," <u>Oncogene</u> 8:2025-2031 (1993)
	CZ	Borsche et al., "Über nielkernige kondensierte systeme mit heterocyclischen ringen," <u>Monatsh. Chem.</u> 550:160-174 (1941)
	DA	Buzzetti et al., "Cinnamamide Analogs as Inhibitors of Protein Tyrosine Kinases," <u>Il Farmaco</u> 48:615-616 (1993)
	DB	Canola and Rodriguez, "Synthesis of Oxindole Derivatives from N-Alkenyl-o-Chloroanilides with Zero-Valent Nickel Complex," <u>J. Heterocyclic Chem.</u> 22:1511-1518 (1985)
	DC	Chatten et al., "Substituted Oxindoles. Part VI. Polarographic Reduction of Substituted trans-3-benzylideneindol-2(3H)-ones," <u>J. Chem. Soc. Perkin II</u> pp. 469-473 (1973)
	DD	Coda et al., "(Z)- and (E)-Arylidene-1,3-dihydroindol-2-ones: Configuration, Conformation and Infrared Carbonyl Stretching Frequencies," <u>J. Chem. Soc. Perkin II</u> pp. 615-619 (1984)
FS	DE	Coppola et al., "A functional insulin-like growth factor I receptor is required for the mitogenic and transforming activities of the epidermal growth factor receptor," <u>Mol. Cell. Biol.</u> 14:4588-4595 (1994)

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FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

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ATTY. Docket NO.

38602/1220

SERIAL NO.

09/897,355

APPLICANT:

Peng Cho Tang et al.

FILING DATE:

7/3/01

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KS	DF	Daisley, "Thin-layer chromatographic separation of some substituted 3-benzylidene-indol-2(3H)-ones," <u>J. Chromatography</u> 100:240-242 (1974)
	DG	Dati et al., "Inhibition of c-erbB-2 oncogene expression by estrogens in human breast cancer cells," <u>Oncogene</u> 5:1001-1006 (1990)
	DH	De Vries et al., "The fms-like Tyrosine Kinase, a Receptor for Vascular Endothelial Growth Factor," <u>Science</u> 255:989-991 (1992)
	DI	Decker and Lohmann-Matthes, "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNF) activity," <u>J. Immunol. Methods</u> 15:61-69 (1988)
	DJ	Dickson et al., "Tyrosine kinase receptor-nuclear protooncogene interactions in breast cancer," <u>Cancer Treatment Res.</u> 61:249-273 (1992)
	DK	Elliott and Rivers, "Reduction of some oxindolylidene derivatives to 3-substituted oxindoles by sodium borohydride," <u>J. Org. Chem.</u> 29:2438-2440 (1964)
	DL	Fantl et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <u>Cell</u> 69:413-423 (1992)
	DM	Fendly et al., "Characterization of Murine Monoclonal Antibodies Reactive to Either the Human or Epidermal Growth Factor Receptor or HER2/neu Gene Product" <u>Cancer Research</u> 50:1550-1558 (1990)
	DN	Ferrara and Henzel, "Pituitary Follicular Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," <u>Biochem. Biophys. Res. Commun.</u> 161:851-858 (1989)
	DO	Fingl and Woodbury, Chapter 1, pp. 1-46 in <u>The Pharmacological Basis of Therapeutics</u> (5th edition), eds. Goodman et al., MacMillan Publishing Co., Inc., New York (1975)
	DP	Floege et al., "Factors involved in the regulation of mesangial cell proliferation <i>in vitro</i> and <i>in vivo</i> ," <u>Kidney International</u> 43S:47-54 (1993)
	DQ	Folkman and Shing, "Angiogenesis," <u>J. Biol. Chem.</u> 267:10931-10934 (1992)
	DR	Folkman, "What is the Evidence that Tumors are Angiogenesis Dependent?" <u>Journal of the National Cancer Institute</u> 82:4-6 (1990)
	DS	Golding, "Cytokines and cell growth control," <u>Critical Reviews in Eukaryotic Gene Expression</u> 1:301-326 (1991)
	DT	Gottardis et al., "Estradiol-Stimulated Growth of MCF-7 Tumors Implanted in Athymic Mice: A Model to Study the Tumorstatic Action of Tamoxifen," <u>J. Steroid Biochem.</u> 30(1-6):311-314 (1988)
	DU	Hewgill and Stewart, "Phenanthrene-4,5-quinones: a Synthesis of Morphenol," <u>J. Chem. Soc., Perkin Trans. I</u> pp. 1305-1311 (1988)
	DV	Hodges et al., "Chemical and biological properties of some oxindolyl-3-methines," <u>Canadian J. Chemistry</u> 46:2189-2194 (1968)
	DW	Honegger et al., "Point Mutation at the ATP Binding Site of EGF Receptor Abolishes Protein-Tyrosine Kinase Activity and Alters Cellular Routing," <u>Cell</u> 5:199-209 (1987)
	DX	Houck et al., "Dual Regulation of Vascular Endothelial Growth Factor Bioavailability by Genetic and Proteolytic Mechanisms," <u>J. Biol. Chem.</u> 267:26031-26037 (1992)
	DY	Howard et al., "Synthesis and aldose reductase inhibitory activity of substituted 2(1H)-benzimidazolone- and oxindole-1-acetic acids," <u>Eur. J. Med. Chem.</u> 27:779-789 (1992)
KS	DZ	Ijaz et al., "The Conversion of $\alpha,\beta$ -Dinitrostyrenes Into Indoles and the Preparation of Oxindole Quinones," <u>J. Chem. Res. (S)</u> pp. 116 (1990)


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	<b>APPLICANT:</b> Peng Cho Tang et al.	
	<b>FILING DATE:</b> 7/3/01	<b>GROUP:</b> 1620

KS	EA	Jellinek et al., "Inhibition of Receptor Binding by High-Affinity RNA Ligands to Vascular Endothelial Growth Factor," <u>Biochemistry</u> 33:10450-10456 (1994)
	EB	Katritzky et al., "Color and Constitution. Part 8(1). Some Novel Dyestuffs Containing Indoxyl Residues," <u>J. Heterocyclic Chem.</u> 25:1287-1292 (1988)
	EC	Kendall and Thomas, "Inhibition of vascular endothelial cell growth factor activity by an endogenously encoded soluble-receptor," <u>Proc. Natl. Acad. Sci. USA</u> 90:10705-10709 (1993)
	ED	Khalil and Abdel-Rahman, "Synthesis of New Mero- and Asymmetrical Pyrazolo-Monomethine Cyanine Dyes," <u>J. Indian Chem. Soc.</u> 54:904-907 (1977)
	EE	Kim et al., "Inhibition of vascular endothelial growth factor-induced angiogenesis suppresses tumour growth <i>in vivo</i> ," <u>Nature</u> 362:841-844 (1993)
	EF	Kinsella et al., "Protein Kinase C Regulates Endothelial Cell Tube Formation on Basement Membrane Matrix, Matrigel," <u>Exp. Cell Research</u> 199:52-62 (1992)
	EG	Klagsbrun and Soker, "VEGF/VPF: the angiogenesis factor found!" <u>Current Biology</u> 3:699-702 (1993)
	EH	Kobayashi et al., "Anti-tumor Activity of Indole Derivatives," <u>Yakugaku Zasshi</u> 97(9):1033-1039 (1977)
	EI	Koch et al., "SH2 and SH3 Domains: Elements That Control Interactions of Cytoplasmic Signaling Proteins," <u>Science</u> 252:668-674 (1991)
	EJ	Korc et al., "Overexpression of the epidermal growth factor receptor in human pancreatic cancer is associated with concomitant increases in the levels of epidermal growth factor and transforming growth factor alpha," <u>J. Clin. Invest.</u> 90:1352-1360 (1992)
	EK	Korzeniewski and Callewaert, "An Enzyme-Release Assay for Natural Cytotoxicity," <u>J. Immunol. Methods</u> 64:313-320 (1983)
	EL	Kovac and Stetinova, "Furan derivatives LXXX. Synthesis and properties of substituted furfurylidenoindoles," <u>Chem. Zvesti</u> 30:484-492 (1976)
	EM	Kumbae et al., "Amplification of alpha-platelet-derived growth factor receptor gene lacking an exon coding for a portion of the extracellular region in a primary brain tumor of glial origin," <u>Oncogene</u> 7:627-633 (1992)
	EN	Larock and Babu, "Synthesis of Nitrogen Heterocycles via Palladium-catalyzed Intramolecular Cyclization," <u>Tetrahedron Letters</u> 28:52991-52994 (1987)
	EO	Lee and Donoghue, "Intracellular retention of membrane-anchored v-sis protein abrogates autocrine signal transduction," <u>J. Cell. Biol.</u> 118:1057-1070 (1992)
	EP	Macauley et al., "Autocrine function for insulin-like growth factor I in human small cell lung cancer cell lines and fresh tumor cells," <u>Cancer Res.</u> 50:2511-2517 (1990)
	EQ	Mariani et al., "Inhibition of angiogenesis by PCE 26806, a potent tyrosine kinase inhibitor," <u>Experimental Therapeutics - Proceedings of the American Association for Cancer Research</u> 35:381 at abstract no. 2268 (March 1994)
	ER	Martin-León et al., "On the Cyclization to the Elusive Amino-4H-pyran Ring," <u>Liebigs Ann. Chem.</u> pp. 101-104 (1990)
	ES	Mirand et al., "A Synthetic Entry in the Aristotelia Alkaloids," <u>J. Org. Chem.</u> 47:4169-4170 (1982)
	ET	Mosmann, "Rapid Colorimetric Assay for Cellular Growth and Survival: Application to Proliferation and Cytotoxicity Assays," <u>J. Immunol. Methods</u> 65:55-63 (1983)
KS	EU	Neber and Rocker, "Ueber die einwirkung von benzaldehyden auf die freie o-aminophenyl-essigsäure," <u>Chem. Ber.</u> 56:1710-1717 (1923)

<b>EXAMINER:</b> <u>Kamal Saeed</u>	<b>DATE CONSIDERED:</b> <u>08/03/04</u>
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ATTY. DOCKET NO.

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SERIAL

09/897,755

APPLICANT:

Peng Cho Tang et al.

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GROUP:

162

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FS	EV	Nodiff et al., "Antimalarial Phenanthrene Amino Alcohols. 1. Fluorine-Containing 3- and 6-Substituted 9-Phenanthrenemethanols," <u>J. Med. Chem.</u> 14:921-925 (1971)
	EW	O'Sullivan and Rothery, "The Preparation and Possible Clinical Significance of 4'-Dialkylaminoisoindogenides," <u>Clinica Chimica Acta</u> 62:181-182 (1975)
	EX	Osborne et al., "Effect of Estrogens and Antiestrogens on Growth of Human Breast Cancer Cells in Athymic Nude Mice," <u>Cancer Research</u> 45:584-590 (1985)
	EY	Ozzello and Sordal, "Behavior of Tumors Produced by Transplantation of Human Mammary Cell Lines in Athymic Nude Mice," <u>Eur. J. Cancer</u> 16:553-559 (1980)
	EZ	Pavlenko et al., "Introduction of aminomethyl groups into heterocyclic CH-acid molecules," <u>Dopov. Akad. Nauk Ukr. RSB</u> 7:64-66 (1980)
	FA	Plate, "Vascular endothelial growth factor is potential tumor angiogenesis factor in human gliomas in vivo," <u>Nature</u> 359:845-848 (1992)
	FB	Plowman et al., "Receptor Tyrosine Kinases as Targets for Drug Intervention," <u>DN&amp;P</u> 7(6):334-339 (1994)
	FC	Ruveda and Gonzalez, "Geometric isomerism in benzylideneoxindoles," <u>Spectrochimica Acta</u> 26A:1275-1277 (1970)
	FD	Rygaard and Povlsen, "Heterotransplantation of a Human Malignant Tumour to 'Nude' Mice," <u>Acta path. microbiol. scand.</u> 77:758-760 (1969)
	FE	Sandberg-Nordqvist et al., "Characterization of Insulin-like growth factor 1 in human primary brain tumors," <u>Cancer Res.</u> 53:2475-2478 (1993)
	FF	Schindler et al., "Über Dibenz(b,f)-azocin-Derivate," <u>Helvetica Chimica Acta</u> 49:985-989 (1966)
	FG	Schlessinger and Ullrich, "Growth Factor Signalling by Receptor Tyrosine Kinases," <u>Neuron</u> 9:383-391 (1992)
	FH	Schnierle et al., "Vilsmeier-Reaktion mit Pyrrol- und Pyrrolon-Derivaten," <u>Liebigs Ann. Chem.</u> 715:90-97 (1968)
	FI	Schuchter et al., "Successful Treatment of Murine Melanoma with Bryostatins 1," <u>Cancer Research</u> 51:682-687 (1991)
	FJ	Selbert et al., "Clonal Variation of MCF-7 Breast Cancer Cells in Vitro and in Athymic Nude Mice," <u>Cancer Research</u> 43:2223-2239 (1983)
	FK	Shafie and Grantham, "Role of Hormones in Growth and Regression of Human Breast Cancer Cells (MCF-7) Transplanted into Athymic Nude Mice," <u>J. Natl. Cancer Institute</u> 67(1):51-56 (1981)
	FL	Shibuya et al., "Nucleotide sequence and expression of a novel human receptor-type tyrosine kinase gene (fms) closely related to the <i>fms</i> family," <u>Oncogene</u> 5:519-524 (1990)
	FM	Shiraishi, "Specific Inhibitors of Tyrosine-Specific Protein Kinase, Synthetic 4-Hydroxycinnamamide Derivatives," <u>Biochemical and Biophysical Research Communications</u> 147:322-328 (1987)
	FN	Shiraishi et al., "Specific Inhibitors of Tyrosine-specific Protein Kinases: Properties of 4-Hydroxycinnamamide Derivatives in Vitro," <u>Cancer Research</u> 49:2374-2378 (1989)
	FO	Shweiki, "Vascular endothelial growth factor induced by hypoxia may mediate hypoxia-initiated angiogenesis," <u>Nature</u> 359:843-845 (1992)
	FP	Skehan et al., "New Colorimetric Cytotoxicity Assay for Anticancer-Drug Screening," <u>J. Natl. Cancer Inst.</u> 82:1107-1112 (1990)
FS	FQ	Slamon et al., "Studies of the HER-2/neu Proto-oncogene in Human Breast and Ovarian Cancer," <u>Science</u> 244:707-712 (1989)

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	<b>APPLICANT:</b> Peng Cho Tang et al.	
	<b>FILING DATE:</b> 7/3/01	<b>GROUP</b> 06 2042

ES	FR	Songyang et al., "Specific motifs recognized by the SH2 domains of Csk, 3BP2, <i>lps/les</i> , GRB-2, HCP, SHC, Syk and Vav," <u>Molecular and Cellular Biology</u> 14:2777-2785 (1994)
	FS	Songyang et al., "SH2 Domains Recognize Specific Phosphopeptide Sequences," <u>Cell</u> 72:767-778 (1993)
	FT	Stetinova et al., "Stereochemistry and Photoisomerisation of Furfurylideneoxindoles," <u>Collection Czechoslov. Chem. Commun.</u> 42:2201-2206 (1976)
	FU	Sumpter and Miller, "Chapter IV - Oxindole," in <u>Heterocyclic Compounds With Indole and Carbazole Systems</u> , Interscience Publishers, Inc., New York, pp. 134-153 (1954)
	FV	Tacconi et al., "(Z)- and (E)-3-Alkylidene-1,3-dihydroindol-2-ones: Influence of Configuration on the Transmission of the Inductive Effect to the Carbonyl Group," <u>J.C.S. Perkin II</u> pp. 150-154 (1976)
	FW	Tacconi and Marinone, "Preparazione e caratteristiche di alcuni 3-ossindolidenderivati," <u>Ricerca Scientifica</u> 38:1239-1244 (1968)
	FX	Takano et al., "Inhibition of angiogenesis by a novel diaminoanthraquinone that inhibits protein kinase C," <u>Mol. Bio. Cell</u> 4:358A (1993)
	FY	Thompson et al., "Facile Dimerisation of 3-Benzylideneindoline-2-thiones," <u>J. Chem. Soc. Perkin Trans. (I)</u> pp. 1835-1837 (1993)
	FZ	Torp et al., "Expression of the epidermal growth factor receptor gene in human brain metastases," <u>AMPS</u> 100:713-719 (1992)
	GA	Trieb et al., "Uber Isoindigolide Farbstoffe der Pyrrol-Reihe," <u>Liebigs Ann. Chem.</u> 702:112-130 (1967)
	GB	Tuzi et al., "Expression of growth factor receptors in human brain tumours," <u>Br. J. Cancer</u> 63:227-233 (1991)
	GC	Ulrich and Schlessinger, "Signal Transduction by Receptors with Tyrosine Kinase Activity," <u>Cell</u> 61:203-212 (1990)
	GD	Vaisman et al., "Characterization of the Receptors for Vascular Endothelial Growth Factor," <u>J. Biol. Chem.</u> 265:19461-19466 (1990)
	GE	Varma and Gupta, "Nucleophilic Reactions of 2-Methyl-3-(4'-carbomethoxyphenyl)-4-quinazolinones with 2-Indolinones," <u>J. Indian Chem. Soc.</u> 66:804-805 (1989)
	GF	Voller et al., "Enzyme-Linked Immunosorbent Assay," in <u>Manual of Clinical Immunology</u> , 2nd edition, Rose and Friedman editors, American Society of Microbiology, Washington, D.C., pp. 359-371 (1980)
	GG	von Dobeneck et al., " $\alpha,\beta$ -Diindolylmethane und -methene. Der Urorosein-Chromophor," <u>Zur Chemie des Indols VI</u> :1347-1357 (1969)
	GH	Wahl et al., "Chimie Organique - Sur les iso-indogenides," <u>C.R. Hebd. Seances Acad. Sci.</u> 149:132-134 (July 1909)
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<b>EXAMINER:</b> Kamal Saeed	<b>DATE CONSIDERED:</b> 08/03/04
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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 38602-1220	<b>SERIAL NO.</b> 09/897,755
	<b>APPLICANT:</b> Peng Cho Tang et al.	
	<b>FILING DATE:</b> 7/3/01	<b>GROUP:</b> 1627

KS	GM	Winkelmann et al., "Chemotherapeutically Active Nitro Compounds: 4. 5-Nitroimidazoles (Part D)," <u>Arzneim.-Forsch./Drug Res.</u> 27(II):2251-2263 (1977)
KS	GN	Wright et al., "Cyclic Hydroxamic Acids Derived from Indole," <u>JACS</u> 78:221-224 (1956)
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<b>EXAMINER:</b> Kamal Saeed	<b>DATE CONSIDERED:</b> 08/03/04
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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 38602-1220	SERIAL NO. 09/897,758
APPLICANT: Peng Cho Tang et al.	FILING DATE: 7/3/01	GROUP: 1627

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
ES	AA	WO 99/10325	04.03.99	PCT (McNutt et al.)			YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
ES	AB	Andreani et al., "In Vivo Cardiotonic Activity of Pyridylmethyleno-2-indolinones," <u>Arzneimittel-Forschung Drug Research</u> 48(II):727-729 (1998)
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	AG	Graziani et al., "Hepatocyte Growth Factor/Scatter Factor Stimulates the Ras-Guanine Nucleotide Exchanger," <u>The Journal of Biological Chemistry</u> 268(13):9165-9168 (1993)
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EXAMINER: <u>Komal Saeed</u>	DATE CONSIDERED: <u>02/03/01</u>
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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) MAR 01 2002	ATTY. DOCKET NO.	SERIAL NO.
	38602-1220	09/897,755
	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: 7/3/01	GROUP: 1627

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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FORM PTO-1449	ATTY. DCK 38602-1220	SERIAL NO. 09/897,755
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	APPLICANT: Peng Cho Tang et al.	
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA						
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
KS	AL	WO 96/32380	10/17/96	WO/PCT (Bartistini)				
KS	AM	WO 96/22976	08/01/96	WO/PCT (Buzzetti)				
KS	AN	WO 96/40116	12/19/96	WO/PCT (Tang)				
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	AR	Terrett et al., "Combinatorial Synthesis-The Design of Compound Libraries and their Application to Drug Discovery," <u>Tetrahedron</u> 51(30):8135-8173 (1995)
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	AT	Wahl, "3-benzylidene-5-methyl-1,3-dihydroindol-2-one," <u>Ann. Chim.</u> p. 350 (1926), DATABASE CROSSFIRE, Beilstein No. 2-21-00-00290
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	AV	

EXAMINER: <u>Kamel Saeed</u>	DATE CONSIDERED: <u>08/03/02</u>
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FORM PTO-1449  LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOC. NO. 38602-1220	SERIAL NO. 09/897,755
	APPLICANT: Peng Cho Tang et al.	
	FILING DATE 7-3-01	GROUP: 16
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
KS	AL	0 632 102	04/01/95	EPO (Roschger)			X	
KS	AM	WO 97/25986	07/24/97	WO/PCT (Sato)			X	
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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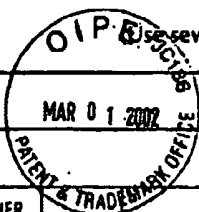
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	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: 7-3-01	GROUP: 1626



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS								
EXAMI NER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLA- TION		
						YES	NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
ks	AA	Andreani et al., "Synthesis and potential coanthracyclinic activity of substituted 3-(5-imidazo [2,1-b] thiazolylmethylene)-2-indolinones," <u>Eur. J. Med. Chem.</u> 32:919-924 (1997)

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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 038602-1220		SERIAL NO. 09/897,755		
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT Peng-Cho TANG, et al.				
				FILING DATE 07/03/2001		GROUP ART UNIT 1622 USPTO_ART_UNIT01		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
KS		5,886,020	3/23/89	Tang et al.				
		5,792,783	8/11/89	Tang et al.				
		5,883,116	3/18/89	Tang et al.				
		5,883,113	3/18/89	Tang et al.				
		5,880,141	3/23/89	Tang et al.				
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		5,196,448	3/23/83	Levitzi Al				
KS		5,322,590	6/21/84	Sircar et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
KS		Carey, F.A. and Sundberg, R.J., "Reaction and Synthesis," <u>Advanced Organic Chemistry, Part B</u> 3 <sup>rd</sup> edition: 55-60; Plenum Press						
KS		March, J.; <u>Advanced Organic Chemistry</u> , 4 <sup>th</sup> edition; 109-111 and 127-130; John Wiley & Sons						
KS		Gordon, E.M. et al., "Application of Combinatorial Technologies to Drug Discovery. 2. Combinatorial Organic Synthesis, Library Screening Strategies, and Future Directions," <u>J. Medicinal Chemistry</u> 37:10, 1385-1401						
EXAMINER Kamal Saeed				DATE CONSIDERED 08/03/04				
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Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 38602-1220	SERIAL NO. 09/897,755
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		APPLICANT Tang, et al.	
		FILING DATE 7-3-01	GROUP ART UNIT 1626



**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
KS	A1	5,565,324	Oct. 15, 1996	Still, et al.	435	8	Apr 13, 1994
KS	A2	4,053,613	Oct 11, 1977	Rovnyak, et al.	424	246	Sep 17, 1975
KS	A3	4,002,749	Jan 11, 1977	Rovnyak	424	246	Aug 12, 1975

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REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
KS	A4	WO 98 07695 A	Feb 26, 1998	Europe	—	X	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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